## Practitioner's Docket No. <u>U 012908-2</u>

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Ap	plication No.: ed: February 11, 1	Scott William KING, et al PCT/AU99/00084 999 E CELLULAR ARCHITEC	Group No.: Examiner:	- 
	*Patent No.:		Issue Date:	• • •
****	OTE: Insert name(s) of i also treert applica	nventor(s) and tille also for patent Non number and filing date, and a	Where statement is with respect to a dd Box M. Fee to address,	s mointenance fee paymen
	STATEMENT C	AIMING SMALL ENTIT	Y STATUS (37 CFR 1.9(c-	f) and 1.27(b-d))-
Wit	h respect to the inve	ention described in		
		tion filed herewith.		
		10,, fil	ed	
	patent no.	issued	•	•
, <b>I.</b>	IDENTIFICA:	TION AND RIGHTS AS A	SMALL ENTITY	
I he	reby state that I am			
1140	coy state that I am	(complete either (a), (	), (c) or (d) below)	
(a)	Independent Inv	entor	· ,	•
	<b>S</b>	a below named independent inventor, as defined in 37 (	CFR 1.9(c), for purposes of	paying reduced fees
	<del>-</del> .	under Sections 41(a) and (b) Trademark Office.	of Title 35, United States Co	de, to the Patent and
(b)		porting a Claim by Another	•	
		making this statement to sup	port a claim by	
1.9(c	of or purposes of pay	for purposes of paying reduce by state that I would qualify ring reduced fees under Scot	as an independent inventor a	e defined in 37 CFD
11 1 11	ad made the above i	dentified invention.		·
(c)	Small Būsiness (	Concern		
check	□ the owne	r of the small business conc	ern identified below:	
one ~	an offici	el of the small business con l below:	ern empowered to act on be	chalf of the concern

(Statement Claiming Small Entity Status (37 CFR 1.9(c-f) and 1.27(b-d)-page 1 of 4) 7-10

⊠ person

(item (a) or (b) above)

	that the ab	ove identified small business concern qualifies as a small business concern, as defined in 13 -18, and reproduced in 37 CFR 1.9(d) for numbers of partial part
	.41(a) and (those of its employees persons emyear, and (2)	-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Sections b) of Title 35, United States Code, in that the number of employees of the concern, including affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of the business concern is the average over the previous fiscal year of the concern of the ployed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal concerns are affiliates of each other when either, directly or indirectly, one concern controls over to control the other, or a third party or parties controls or has the power to control both.
	(d) Non-Pr	ofit Organization
	- 0	an official empowered to act on behalf of the nonprofit organization identified below:
1	Name of Or	Panization
A	Address of (	ganization
-		
1	_	RGANIZATION
		University or Other Institution of Higher Education
		Tax Exempt Under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3))
	Amer	Nonprofit Scientific or Educational Under Statute of State of the United States of
		(Name of State)
		(Citation of Statute)
		Would Qualify as Tax Exempt Under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3)), if Located in the United States of America
		Would Ouglify as Nonprofit Sainties
		Would Qualify as Nonprofit Scientific or Educational Under Statute of State of the United States of America, if Located in the United States of America
		/amia of Offic
		-(Citation of Statute)
and	that the no-	any of the control of
37 ( Stat	CFR 1.9(c), es Code.	nprofit organization identified above qualifies as a nonprofit organization, as defined in for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United
11.	OWNE	RSHIP OF INVENTION BY DECLARANT
abov	e identified	state that rights under contract or law remain with and/or have been conveyed to the

(Statement Claiming Small Entity Status (37 CFR 1.9(c-f) and 1.27(b-d)-page 2 of 4) 7-10

O organization

(item (d) above)

□ concern

(item (c) above)

EXCEPT, that if the rights held are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held (I) by any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, (2) any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or (3) a nonprofit organization under 37 CFR 1,9(e).

	呂	no such pers	rson, concern, or organization		
		•	erns or organizations listed below	y♥	
*NOTE:	Separate , as to their	statements are re status as small	equired from each named person, concer entities. (37 CFR 1.27)	n or organization having rights to the invention	
Full Na Address	me				
	□ INDIN	VIDUAL	D SMALL BUSINESS CONCERN	□ NONPROFIT ORGANIZATION	
Full Nar Address				-	
	O INDIV	VIDUAL	□'SMALL BUSINESS CONCERN	☐ NONPROPIT ORGANIZATION	

### III. ACKNOWLEDGEMENT OF DUTY TO NOTIFY PTO OF STATUS CHANGE

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

#### IV. DECLARATION

(check the following item, if desired)

- The following verification statement need not be made in accordance with the rules published on October 10, 1997, NOTE: 62 Fed. Reg. 52131, effective December 1, 1997.
- "The presentation to the Office (whether by signing, filing, submitting, or later advocating) of any paper by a party, NOTE: whether a practicioner or non-practitioner, constitutes a certification under § 10.18(b) of this chapter. Violations of § 10.18(b)(2) of this chapter by a party, whether a practitioner or non-practitioner, may result in the imposition of sanctions under § 10.18(c) of this chapter. Any practitioner violating § 10.18(b) may also be subject to disciplinary action. See § § 10.18(d) and 10.23(c)(15). "37 CFR 1.4(d)(2).
- I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

(Statement Claiming Small Entity Status (37 CFR 1.9(c-f) and 1.27(b-d)-page 3 of 4) 7-10

73 82/02 UCT 19 '00 11:19

## (complate only (a) or (f) below)

NOTE: All inventors must sign the statement.				
Name of Inventor  (X)	Date: <u>(20</u>	1944 0	itober.	200
Name of Inventor				
Signature of Inventor	Date:			
Name of Inventor				
Signature of Inventor	<b>Date</b> :		************************************	
!sid lines for any adds	count channes in July a billion.	eign)	· · · · · · · · · · · · · · · · · · ·	
	or .			
l) IOTS: The title of the person signing on behalf of a condition of Person Signing	itra or neaprofa organiza	tion should be s	Probled.	•
itle of Papeon				
(If signing on behalf of a con	com or non-profil of	Barranon.	<del></del>	
ddress of Person Signing				
IGNATURE	DATE			

(Statement Claiming Smell Subby States (37 CPR 1 .9(6-f) and 1 -37(6-d)-page 4 of 4) 7-10

check

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PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

App.	le February 1.	of: Scott William KING, et PCT/AU99/00084 1, 1999 AVE CELLULAR ARCHITI	Group No.:	<i>پريو</i> -
	Patent No.:		Issue Date:	
*NOT	E: Insert name(s) also insert app	of inventor(s) and title also for pate lication number and filing date, an	nt Where statement is with respect to a r d add Box M. Fee to address.	naintenance fee payment,
S	TATEMENT	CLAIMING SMALL ENT	ITY STATUS (37 CFR 1.9(c-f)	and 1.27(b-d))-
		vention described in		
	□ the specif	cation filed herewith.		
	D application	7 TO	filed	·
,	D patent no	; )	illed	
	- pelent (10.	issued		
I.	IDENTIFIC	ATION AND RIGHTS AS	A SMALL ENTITY	
I hereb	y state that I ar			
	) mat 1 M		(b), (c) or (d) below)	
(a)	Todowandans V		(-), (-) (-) Dalow,	
(4)	Independent I			
(ь)	Noninventor S	m. o.mor, as delined in 3/	ont inventor, and that I qualify CFR 1.9(c), for purposes of particle 35, United States Code	
		making this statement to su	pport a claim by	
1.9(c) for if I had n	made the above made the above mall Business the own an offic	ying reduced fees under Sectidentified invention.  Concern  er of the small business concern	eced fees under Sections 41(a) are y as an independent inventor as of tions 41(a) and (b) of Title 35, Under the second of the s	lefined in 37 CFR nited States Code,

(Statement Claiming Small Entity Status (37 CFR 1.9(c-f) and 1.27(b-d)--page 1 of 4) 7-10

Nam Add	ne of Concern ress of Concer	m.	
that	the above idea		
those employerso year, or has	and (b) of Tite of its affiliate oyees of the te ns employed o and (2) concer the power to	tle 35, United States Code, in that the es, does not exceed 500 persons. For pusiness concern is the average over on a full-time, part-time or temporary ms are affiliates of each other when excentrol the other, or a third party or p	and sies as a small business concern, as defined in 13 purposes of paying reduced fees under Sections number of employees of the concern, including a purposes of this statement, (1) the number of the previous fiscal year of the concern of the basis during each of the pay periods of the fiscal ther, directly or indirectly, one concern controls arties controls or has the power to control both.
(d) N	on-Profit Org	anization	
	.□ an c	official empowered to act on behalf	of the nonprofit organization identified below:
Name Addre	of Organizations of Organiza	on	
	OF ORGANI		
		ersity or Other Institution of Higher	Education
	□ Tax	Exempt Under Internal Revenue Ser	vice Code (26 USC 501(a) and 501(c) (3))
	America	- Date of Educational On	der Statute of State of the United States of
	(Nam (Cital	ne of State	)
	□ Would	d Qualify as Tax Exempt Under Inte 01(c) (3)), if Located in the United S	rnal Revenue Service Code (26 USC 501(a) tates of America
	(Name	d Qualify as Nonprofit Scientific or States of America, if Located in the of State	
and that if 37 CFR 1 States Co.	he nonprofit o	Econization identical and	s as a nonprofit organization, as defined in Sections 41(a) and (b) of Title 35, United
II. O	WNERSHIP	OF INVENTION BY DECLARA	NT
I) above ider		at rights under contract or law rema	in with and/or have been conveyed to the
8	person	_	
(item (a) o	f (b) above)	Citem (c) above)	citem (d) above)

EXCEPT, that if the rights held are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held (1) by any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, (2) any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or (3) a nonprofit organization under 37 CFR 1.9(c).

	no such person, concern, or organization  person, concerns or organizations listed below=				
*NOTE: S	aparate statements or s to their status as sm	e required from each named person, concer all entities. (37 CFR 1.27)	n or organization having rights to the inventio		
Full Name					
	INDIVIDUAL	☐ SMALL BUSINESS CONCERN	□ nonprofit organization		
Full Name Address _			•		
C	INDIVIDUAL	☐ SMALL BUSINESS CONCERN	O nonprofit organization		

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(Statement Claiming Small Entity Status (37 CFR 1.9(c-f) and 1.27(b-d)-page 3 of 4) 7-10

### SIGNATURES "

### (complete only (c) or (f) below)

SIGNATURE	DATE
Address of Person Signing	
(if signing on behalf of a co	ncern or non-profit organisation)
Title of Person	· •
Name of Person Signing	- The open of the chile
	ncern or nomprofit organization skould be specified.
<b>(f)</b>	or
,	annon unautors and west filed
ladd lings for any add	ings sum of secondary land
Signature of Inventor	Date:
Name of Inventor	
Signature of Inventor	Date:
Name of Inventor	
Signature of Investor	Date: (X) 19 Uctober 2000
Neme of inventor	Date: (X) 19 October 2000
RICHARD FREYER	
(e) NOTE: All inventors must sign the statemen	<b>c.</b>

(Sustainer Claiming Small Entity Sugar (37 CFR [ .3(6-f) and ] .27(6-d)~page 4 of 4) 7-10